

**On the 4-th Johnson homomorphism  
of the automorphism group  
of a free group**

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## Introduction

$$n \geq 2$$

- $F_n$  : free group of rank  $n$
- $\text{Aut } F_n$  : automorphism group of  $F_n$
- $H := F_n^{\text{ab}} = H_1(F_n, \mathbb{Z}) \cong \mathbb{Z}^{\oplus n}$

$$H \times \text{Aut } F_n \rightarrow H$$

$$(x, \sigma) \mapsto x^\sigma$$

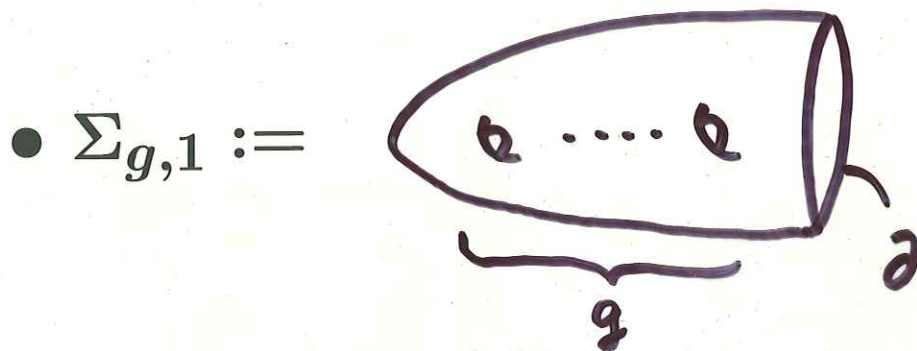
- $\text{IA}_n := \text{Ker}(\text{Aut } F_n \rightarrow \text{Aut}(H))$



IA-automorphism group of  $F_n$

## Mapping class group

$$g \geq 1$$



•  $\mathcal{M}_{g,1} := \text{Diff}^+(\Sigma_{g,1}, \partial) / \text{isotopy}$

$$H := H_1(\Sigma_{g,1}, \mathbb{Z}) \curvearrowright \mathcal{M}_{g,1}$$

•  $\mathcal{I}_{g,1} := \text{Ker}(\mathcal{M}_{g,1} \rightarrow \text{Aut}(H))$

↑  
Torelli subgroup of  $\mathcal{M}_{g,1}$

Theorem. (Dehn, Nielsen)  $g \geq 1$

$$\begin{array}{ccc} \iota : \mathcal{M}_{g,1} & \hookrightarrow & \text{Aut } F_{2g} \\ \cup & & \cup \\ \mathcal{I}_{g,1} & \hookrightarrow & \text{IA}_{2g} \end{array}$$

↖  $\pi_1(\Sigma_{g,1})$

Problem For  $n \geq 3$

Find a presentation for  $\text{IA}_n$ .

Johnson 1980

- Johnson homomorphisms

$$\text{IA}_n = \mathcal{A}_n(1) \supset \mathcal{A}_n(2) \supset \dots$$

$$\text{gr}^k(\mathcal{A}_n) := \mathcal{A}_n(k) / \mathcal{A}_n(k+1)$$

↑  
"one by one approximation" of  $\text{IA}_n$

## Lower central series of $F_n$

- $\Gamma_n(k)$  : lower c. s. of  $F_n$

$$\Gamma_n(1) := F_n, \Gamma_n(k) := [\Gamma_n(k-1), F_n]$$

$$\Gamma_n(1) \supset \Gamma_n(2) \supset \Gamma_n(3) \supset \dots$$

$\begin{array}{ccc} \text{"} & & \text{"} \\ F_n & & [F_n, F_n] \end{array}$

- $\mathcal{L}_n(k) := \Gamma_n(k)/\Gamma_n(k+1)$

Fact. (Magnus, Witt, Hall)  $n \geq 2$

(i)  $\mathcal{L}_n(k)$  : free abelian group

(ii)  $\text{rank}_{\mathbb{Z}} \mathcal{L}_n(k) = \frac{1}{k} \sum_{d|k} \mu(d) n^{k/d}$

$\mu$  : Möbius fct.

$\mathcal{L}_n(k)$  : "well known"

## Johnson filtration of $\text{Aut } F_n$

$$k \geq 1$$

$$F_n / \Gamma_n(k+1) \leftarrow \text{Aut } F_n$$

- $\mathcal{A}_n(k) :=$

$\text{Ker}(\text{Aut } F_n \rightarrow \text{Aut}(F_n / \Gamma_n(k+1)))$

$$\mathcal{A}_n(1) \supset \mathcal{A}_n(2) \supset \dots$$

$\parallel$

$$\text{IA}_n$$

$\uparrow$  Johnson filtration

- S. Andreadakis, 1965

$[\mathcal{A}_n(k), \mathcal{A}_n(l)] \subset \mathcal{A}_n(k+1)$

- $\text{gr}^k(\mathcal{A}_n) := \mathcal{A}_n(k) / \mathcal{A}_n(k+1)$

## Johnson homomorphisms

- $k \geq 1$ ,

$$\tau_k : \text{gr}^k(\mathcal{A}_n) \rightarrow \text{Hom}_{\mathbb{Z}}(H, \mathcal{L}_n(k+1))$$

$$\sigma \mapsto (x \mapsto x^{-1}x^\sigma)$$

the  $k$ -th Johnson hom. of  $\text{Aut } F_n$

$\text{GL}(n, \mathbb{Z})$ -equiv. injective

- Basic problem

$$\underline{\text{Im}(\tau_k), \text{Coker}(\tau_k) = ?}$$

## Some Facts

(1) (Magnus, 1935)

$\text{IA}_n$  is generated by

$$K_{ij} : x_i \mapsto x_j^{-1} x_i x_j,$$

$$K_{ijk} : x_i \mapsto x_i [x_j, x_k], \quad j < k$$

(2) (Andreadakis, 1965)

$$\tau_1 : \text{gr}^1(\mathcal{A}_n) \xrightarrow{\cong} H^* \otimes_{\mathbb{Z}} \Lambda^2 H$$

$$H^* := \text{Hom}(H, \mathbb{Z})$$

(3) (Cohen-Pakianathan, Farb, Kawazumi)

$$H_1(\text{IA}_n, \mathbb{Z}) \cong H^* \otimes_{\mathbb{Z}} \Lambda^2 H$$

$$\text{IA}_n^{ab}$$

$[K_{ij}], [K_{ijk}] : \mathbb{Z}$ -free basis



(4) (S., 2004)

$$\text{Coker}(\tau_2) = S^2 H$$

$$\text{Coker}(\tau_{3,\mathbb{Q}}) = S^3 H_{\mathbb{Q}} \oplus \Lambda^3 H_{\mathbb{Q}}$$

$$\tau_{k,\mathbb{Q}} = \tau_k \otimes \text{id}_{\mathbb{Q}}, \quad H_{\mathbb{Q}} = H \otimes_{\mathbb{Z}} \mathbb{Q}$$

(5) (Morita)

$$k \geq 2$$

$$\text{Coker}(\tau_{k,\mathbb{Q}}) \supset \underline{S^k H_{\mathbb{Q}}}$$

Morita obst.

Problem  $n \geq 3, k \geq 4$

$$\text{Coker}(\tau_{k,\mathbb{Q}}) = ?$$

## Lower central series of $IA_n$

- $\mathcal{A}'_n(k)$  : Lower c. s. of  $IA_n$

$$\begin{array}{ccccccc} \mathcal{A}_n(1) & \supset & \mathcal{A}_n(2) & \supset & \mathcal{A}_n(3) & \supset & \dots \\ \parallel & & \parallel & & \cup & & \\ \mathcal{A}'_n(1) & \supset & \mathcal{A}'_n(2) & \supset & \mathcal{A}'_n(3) & \supset & \dots \end{array}$$

Conjecture. For  $n \geq 3$ ,  $k \geq 3$ ,

$$\mathcal{A}_n(k) \stackrel{?}{=} \mathcal{A}'_n(k)$$

- $\text{gr}^k(\mathcal{A}'_n) := \mathcal{A}'_n(k) / \mathcal{A}'_n(k+1)$

$$\tau'_k : \text{gr}^k(\mathcal{A}'_n) \rightarrow H^* \otimes_{\mathbb{Z}} \mathcal{L}_n(k+1)$$

$$\sigma \longmapsto (x \mapsto x^{-1}x^\sigma)$$

Problem. For  $n \geq 3$ ,  $k \geq 4$ ,

Determine  $\text{Coker}(\tau'_k)$ .

$\Rightarrow$

- Using the Magnus generators, we obtain generators of

$$\text{gr}^k(\mathcal{A}'_n).$$

$$[K_{ij}, K_{jik}, \dots, K_{jis}]$$

- We can give a lower bound on

$$\text{rank}_{\mathbb{Z}} \text{gr}^k(\mathcal{A}_n).$$

- Application to the study of

$$H_2(\text{IA}_n, \mathbb{Z}).$$

- $\text{Im}(\tau'_1) = H^* \otimes_{\mathbb{Z}} \Lambda^2 H$
- $\text{Coker}(\tau'_2) = S^2 H$
- $\text{Coker}(\tau'_{3,\mathbb{Q}}) = S^3 H_{\mathbb{Q}} \oplus \Lambda^3 H_{\mathbb{Q}}$

### Facts.

(1) (S. Morita)  $k \geq 2$ ,

$$\text{Coker}(\tau'_{k,\mathbb{Q}}) \supset \underline{S^k H_{\mathbb{Q}}}$$

(2)  $3 \leq k \leq n$ ,  $k$  : odd,

$$\text{Coker}(\tau'_{k,\mathbb{Q}}) \supset \underline{\Lambda^k H_{\mathbb{Q}}}$$

(3)  $4 \leq k \leq n - 2$ ,  $k$  : even,

$$\text{Coker}(\tau'_{k,\mathbb{Q}}) \supset \underline{H_{\mathbb{Q}}^{[2, 1^{k-2}]}}$$

$$H^{[2, 1^{k-2}]} \leftrightarrow \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \vdots \\ \hline \square \\ \hline \end{array} \left. \vphantom{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \vdots \\ \hline \square \\ \hline \end{array}} \right\} k-2$$

## Main Theorem

Theorem. (S., 2008) For  $n \geq 6$ ,

$$\text{Coker}(\tau'_{4,\mathbb{Q}})$$

$$= S^4 H_{\mathbb{Q}} \oplus H_{\mathbb{Q}}^{[2,1,1]} \oplus \underline{H_{\mathbb{Q}}^{[2,2]}}$$

↑  
new type

$$\dim_{\mathbb{Q}}(\text{Coker}(\tau'_{4,\mathbb{Q}}))$$

$$= \frac{1}{24}n(n+1)(n+2)(n+3)$$

$$+ \frac{1}{8}n(n+1)(n-1)(n-2)$$

$$+ \frac{1}{12}n^2(n^2-1)$$

## Corollary.

$$\text{rank}_{\mathbb{Z}}(\text{gr}^4(\mathcal{A}_n))$$

$$\geq \frac{1}{5}n^2(n^4 - 1) - \dim_{\mathbb{Q}}(\text{Coker}(\tau'_{4,\mathbb{Q}}))$$

## Trace maps

We consider to detect the obstructions by “Trace maps”.

- For  $k \geq 1$

$$\mathcal{L}_n(k) \hookrightarrow H^{\otimes k}$$

$$[X, Y] \mapsto X \otimes Y - Y \otimes X$$

- $H^* \otimes \mathcal{L}_n(k+1) \rightarrow H^* \otimes H^{\otimes(k+1)}$

● Contraction

$$\varphi_k^l : H^* \otimes_{\mathbb{Z}} H^{\otimes(k+1)} \rightarrow H^{\otimes k}$$

$$\begin{aligned} x_i^* \otimes x_{j_1} \otimes \cdots \otimes x_{j_{k+1}} \\ \mapsto x_i^*(x_{j_l}) \cdot x_{j_1} \otimes \cdots \otimes x_{j_{k+1}} \end{aligned}$$

$$\underline{\Phi_k^l : H^* \otimes \mathcal{L}_n(k+1) \rightarrow H^{\otimes k}}$$



## Morita trace

- $f : H^{\otimes k} \rightarrow S^k H$  "abelianization"

$$\mathrm{Tr}_{[k]} := f \circ \Phi_k^1 :$$

$$H^* \otimes_{\mathbb{Z}} \mathcal{L}_n(k+1) \rightarrow S^k H$$

Theorem. (Morita)  $n \geq 3, k \geq 2,$

(1)  $\mathrm{Tr}_{[k]}$  is surjective.

(2)  $\mathrm{Tr}_{[k]}$  vanishes on  $\mathrm{Im}(\tau_k)$ .

$$\mathrm{Coker}(\tau_{k,\mathbb{Q}}) \supset S^k H_{\mathbb{Q}}$$

Trace map for  $H_{\mathbb{Q}}^{[2,1^{k-2}]}$

- $g : H^{\otimes k} \rightarrow H \otimes_{\mathbb{Z}} \Lambda^{k-1} H$

$$H_{\mathbb{Q}} \otimes_{\mathbb{Z}} \Lambda^{k-1} H_{\mathbb{Q}} \cong \underline{H_{\mathbb{Q}}^{[2,1^{k-2}]} \oplus \Lambda^k H_{\mathbb{Q}}}$$

- $\text{Tr}_{[2,1^{k-2}]} := g \circ \Phi_k^2$

Theorem. (S., 2004)

For  $4 \leq k \leq n - 2$ ,  $k$  : even,

(1)  $\text{Im}(\text{Tr}_{[2,1^{k-2}]}^{\mathbb{Q}}) = H_{\mathbb{Q}}^{[2,1^{k-2}]}$ .

(2)  $\text{Tr}_{[2,1^{k-2}]}$  vanishes on  $\text{Im}(\tau'_k)$ .

$$\text{Coker}(\tau'_{k,\mathbb{Q}}) \supset H_{\mathbb{Q}}^{[2,1^{k-2}]}$$

Trace map for  $H_{\mathbb{Q}}^{[2,2]}$

- $H^{[2,2]} \cong \underline{(\Lambda^2 H \otimes_{\mathbb{Z}} \Lambda^2 H) / \sim}$

$$(a \wedge b) \cdot (c \wedge d) := [(a \wedge b) \otimes (c \wedge d)]$$

- $f_i : H^{\otimes 4} \rightarrow H^{[2,2]}, i = 1, 2$

$$f_i(a \otimes b \otimes c \otimes d) = \begin{cases} (a \wedge c) \cdot (b \wedge d), & i = 1 \\ (a \wedge d) \cdot (b \wedge c), & i = 2 \end{cases}$$

- $\underline{\text{Tr}_{[2,2]} := f_1 \circ \Phi_4^1 - 2(f_2 \circ \Phi_4^1)}$

Theorem. (S., 2008) For  $n \geq 6$ ,

(1)  $\text{Tr}_{[2,2]}$  is surjective.

(2)  $\text{Tr}_{[2,2]}$  vanishes on  $\text{Im}(\tau'_4)$ .

$$\text{Coker}(\tau'_{4,\mathbb{Q}}) \supset H_{\mathbb{Q}}^{[2,2]}$$