

Intelligence of Low Dimensional Topology 2006

# Knots and 4-dimensional topological surgery

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conn. ori. closed

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$$\{\text{surgery problems}\} \longrightarrow L_n(\pi)$$

$$(f : N^n \longrightarrow M^n, b) \longmapsto \theta(f, b)$$

$\theta(f, b)$  : surgery obstruction

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$$\theta(f, b) = 0$$

if can do surgery to get a htpy eq.

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e.g.  $1, \mathbb{Z}^n$ , subexponential groups

[Freedman-Quinn, Krushkal-Q, . . .]

There are other results  
that depend on topology of  $M$ .

- Krushkal-Lee (2002),

$\pi$  : free so probably not good

- Hegenbarth-Repovš (2006)

an example due to H-R

$K \subset S^3$  : a knot

$$E(K) = S^3 - \overset{\circ}{N}(K)$$

$$M(K) = \partial(E(K) \times D^2)$$

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$$M(K) = \partial(E(K) \times D^2)$$

OK for  $M(K)$ , when  $K$  is a

torus knot.

# Theorem

TOP surgery obstruction theory  
works for  $M(K)$  for any knot  
 $K$ .

# properties of $E(K)$ and $S^3 - K$

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- homology  $S^1$ 's
- aspherical
- $S^3 - K$  has a complete non-positively curved metric.

[Leeb 1995]

# properties of $M(K)$

- $\pi_1(M(K)) = \pi_1(E(K))$
- not aspherical



the idea of H-R

Construct a 2-dim spine  $B$  of  $E(K)$   
and a projection  $q : E(K) \rightarrow B$ ,  
so that each  $q^{-1}(x)$  is a wedge of  
intervals along one end.

Restrict the map

$$E(K) \times D^2 \xrightarrow{\text{proj.}} E(K) \xrightarrow{q} B$$

to  $\partial$  and get the control map

$$p : M(K) \rightarrow B.$$

The point inverses of the control map  $p : M(K) \rightarrow B$  are all simply-connected.

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$\implies$  a controlled surgery exact sequence for  $p$

[Pedersen-Quinn-Ranicki (2003)]

$\epsilon > \delta > 0$ : sufficiently small

$\mathcal{N} = \{\text{surgery problems to } M(K)\} / \sim$

$\mathcal{S}(M(K)) = \{\text{htpy eq.'s to } M(K)\} / \sim$

$$\begin{array}{ccccc} \mathcal{S}_{\epsilon, \delta}(M(K)) & \longrightarrow & \mathcal{N} & \longrightarrow & H_4(B; \mathbb{L}) \\ \downarrow & & \parallel & & \downarrow A \\ \mathcal{S}(M(K)) & \longrightarrow & \mathcal{N} & \longrightarrow & L_4(\pi) \end{array}$$

The first row is exact [P-Q-R].

Want to show the second row is also exact.

$$\begin{array}{ccccc} \mathcal{S}_{\epsilon, \delta}(M(K)) & \longrightarrow & \mathcal{N} & \longrightarrow & H_4(B; \mathbb{L}) \\ & & \parallel & & \downarrow A \\ \mathcal{S}(M(K)) & \longrightarrow & \mathcal{N} & \longrightarrow & L_4(\pi) \end{array}$$

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Claim:  $A$  is injective.

$$\begin{array}{ccc}
H_4(B; \mathbb{L}) & \xrightarrow{\phi_*} & H_4(S^1; \mathbb{L}) \\
\downarrow A & & \downarrow A \\
L_4(\pi_1(B)) & \xrightarrow{\phi_*} & L_4(\pi_1(S^1))
\end{array}$$

$\phi : B \rightarrow S^1$ : a homology equivalence



$$\begin{array}{ccc}
 H_4(B; \mathbb{L}) & \xrightarrow[\cong]{\phi_*} & H_4(S^1; \mathbb{L}) \\
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$\phi : B \rightarrow S^1$ : a homology equivalence

$\Rightarrow$  top row is an isomorphism

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 H_4(B; \mathbb{L}) & \xrightarrow[\cong]{\phi_*} & H_4(S^1; \mathbb{L}) \\
 \downarrow A & & \downarrow A \\
 L_4(\pi_1(B)) & \xrightarrow[\cong]{\phi_*} & L_4(\pi_1(S^1))
 \end{array}$$

Bottom row is an isomorphism. [Arvinda-Farrell-Roushon, 1997]

This uses the metric on  $S^3 - K \simeq B$ .

$$\begin{array}{ccc}
 H_4(B; \mathbb{L}) & \xrightarrow[\cong]{\phi_*} & H_4(S^1; \mathbb{L}) \\
 \downarrow A & & \cong \downarrow A \\
 L_4(\pi_1(B)) & \xrightarrow[\cong]{\phi_*} & L_4(\pi_1(S^1))
 \end{array}$$

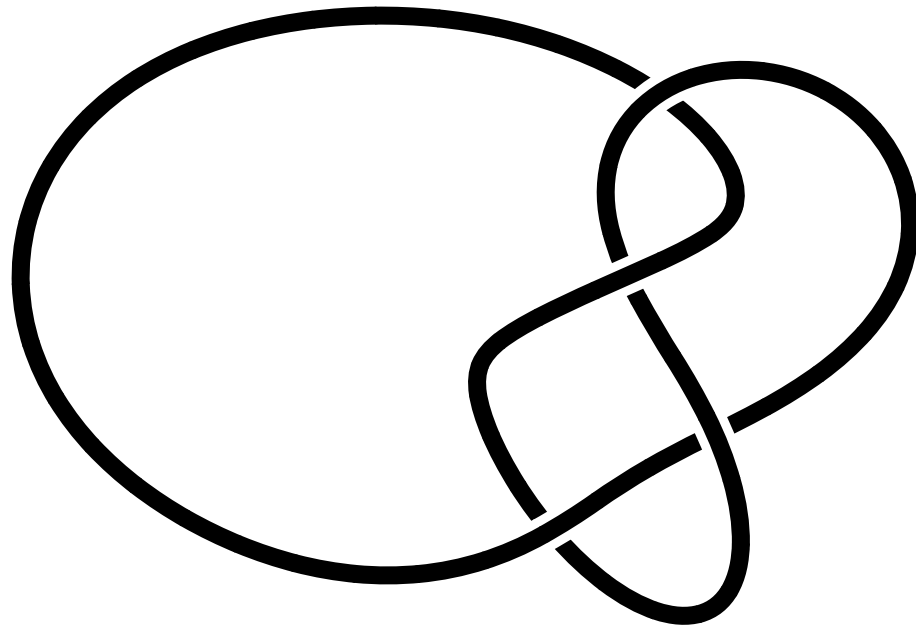
The assembly map  $A$  for  $S^1$  is an isomorphism. [Browder, 1966]

$$\begin{array}{ccc}
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\downarrow A & & \downarrow \cong A \\
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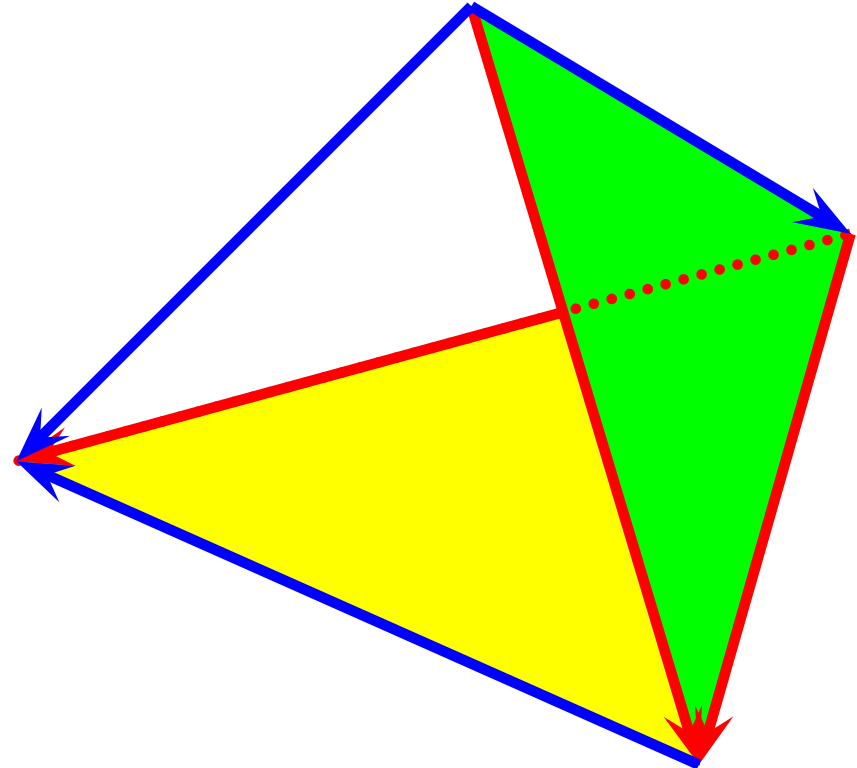
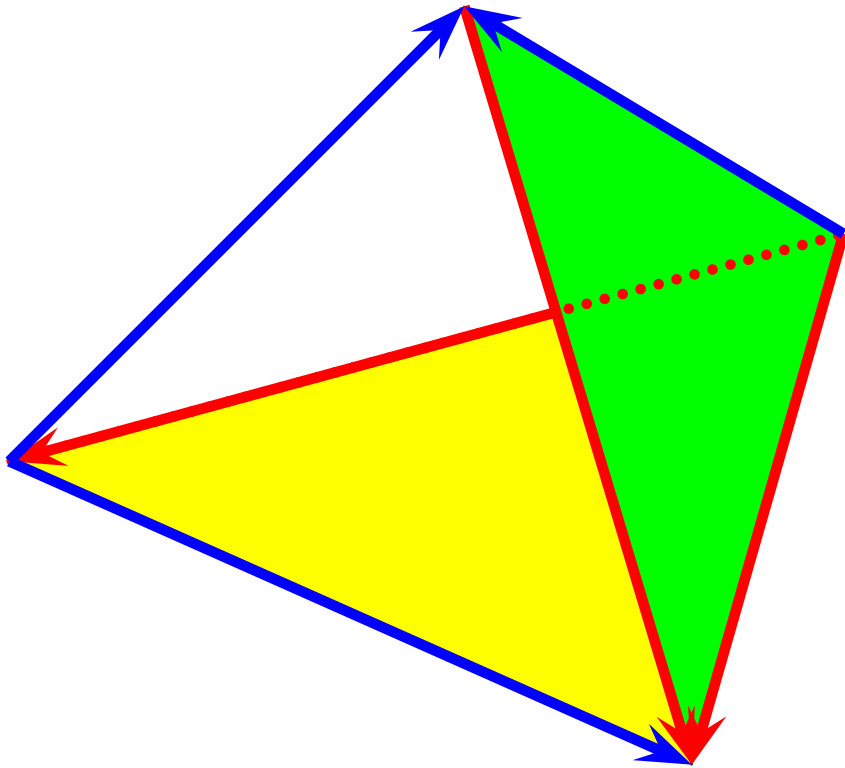
The assembly map  $A$  for  $B$  is also an isomorphism.  $\Rightarrow$  exactness follows

# Construction of the Spine $B$ :

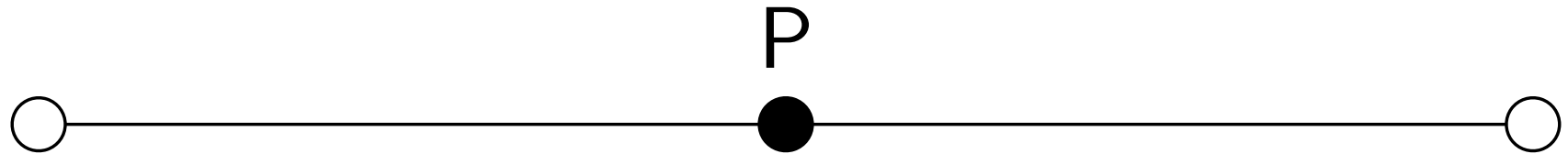
Figure Eight Knot Case



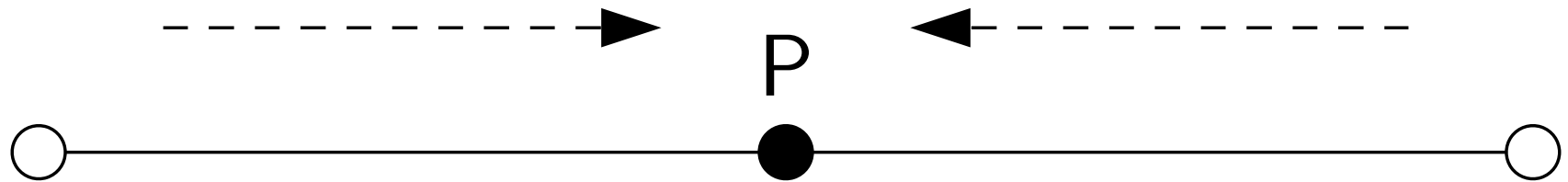
the ideal triangulation of the complement:



dual spine of an ideal 1-simplex

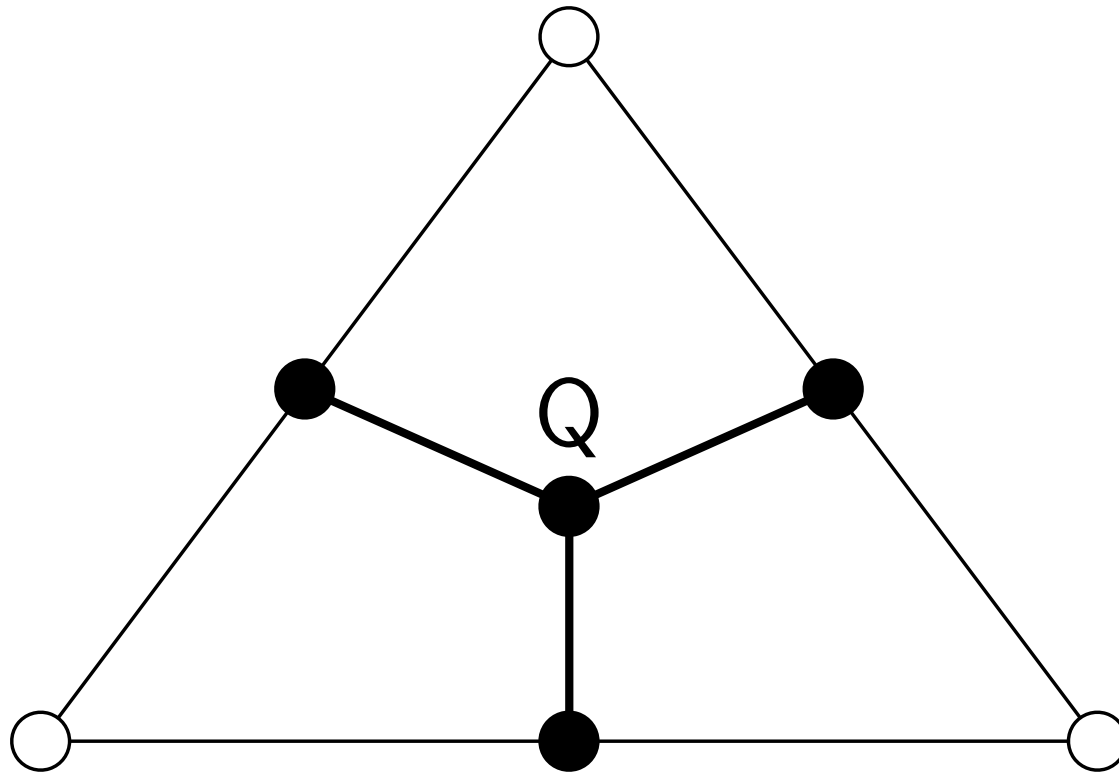


dual spine of an ideal 1-simplex

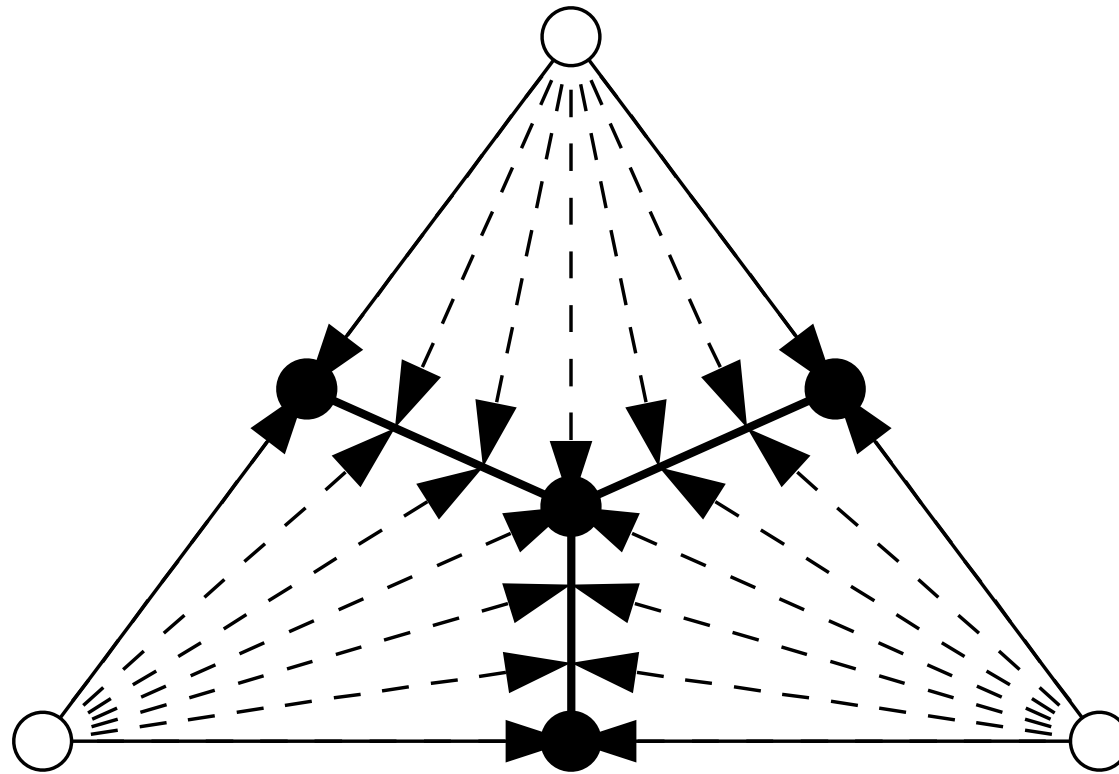




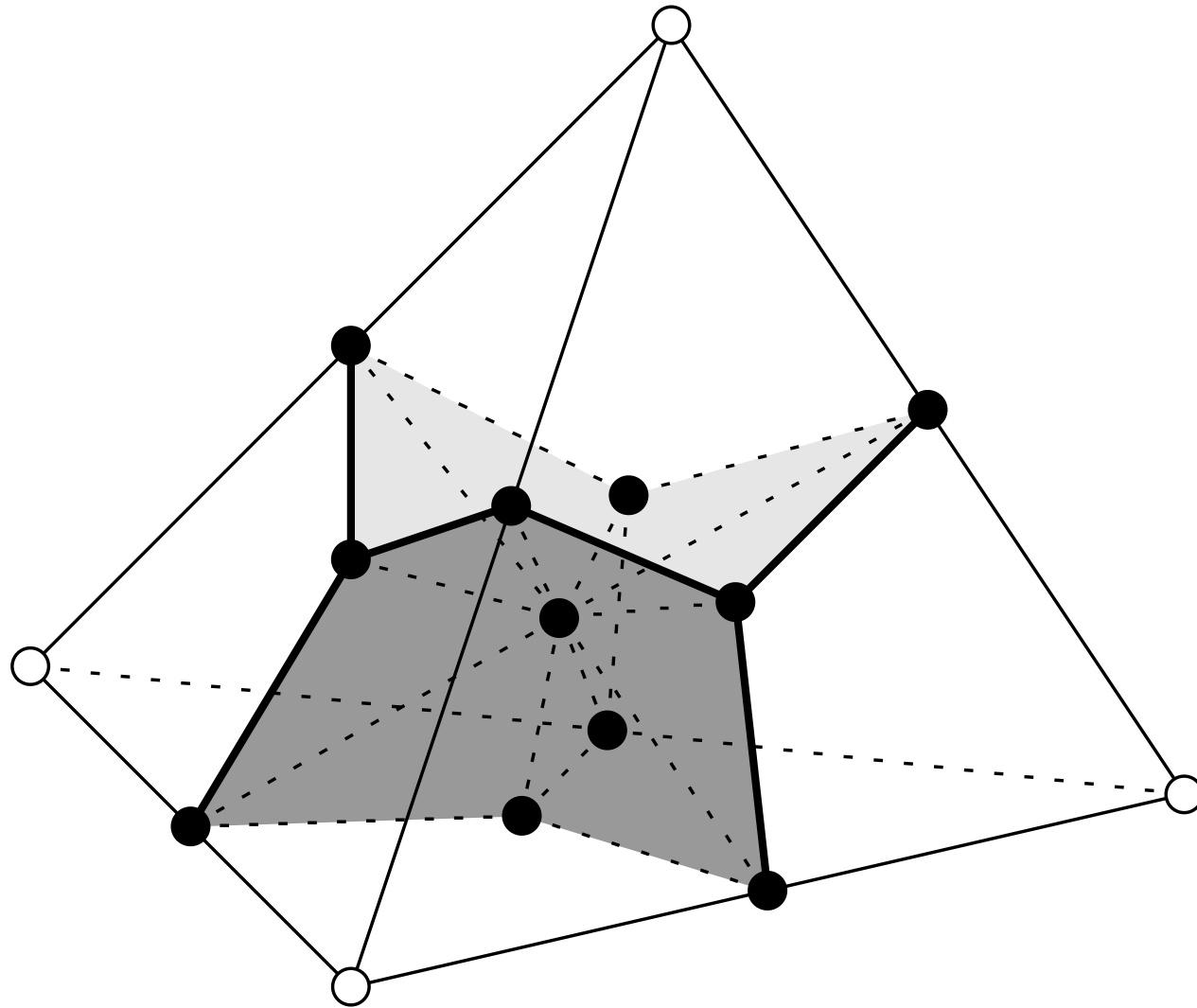
dual spine of an ideal 2-simplex



dual spine of an ideal 2-simplex

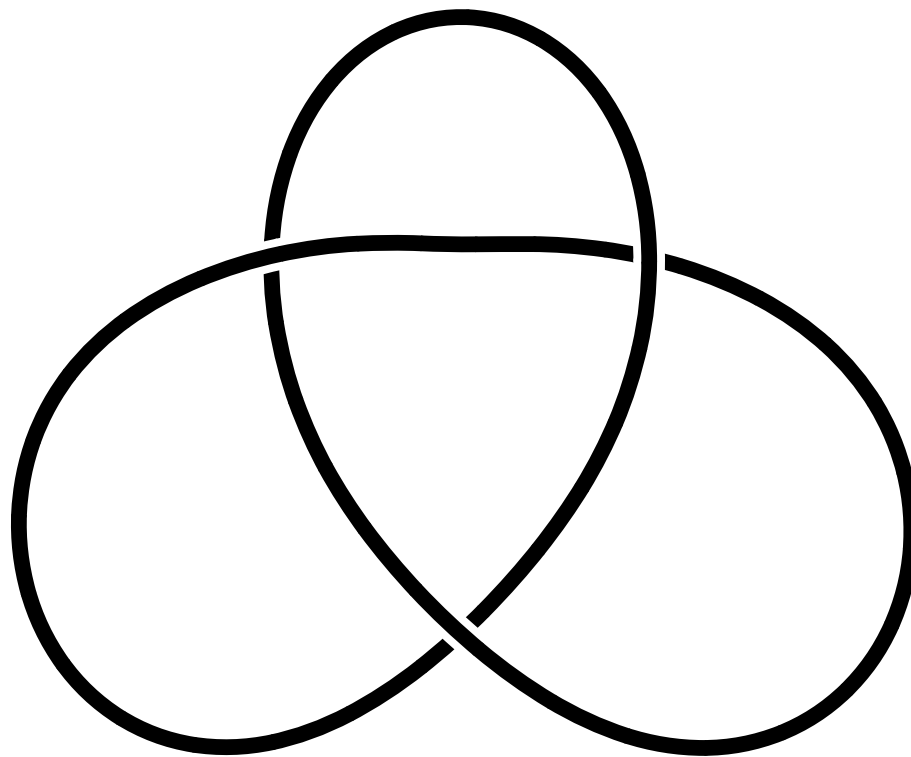


# dual spine of an ideal 3-simplex

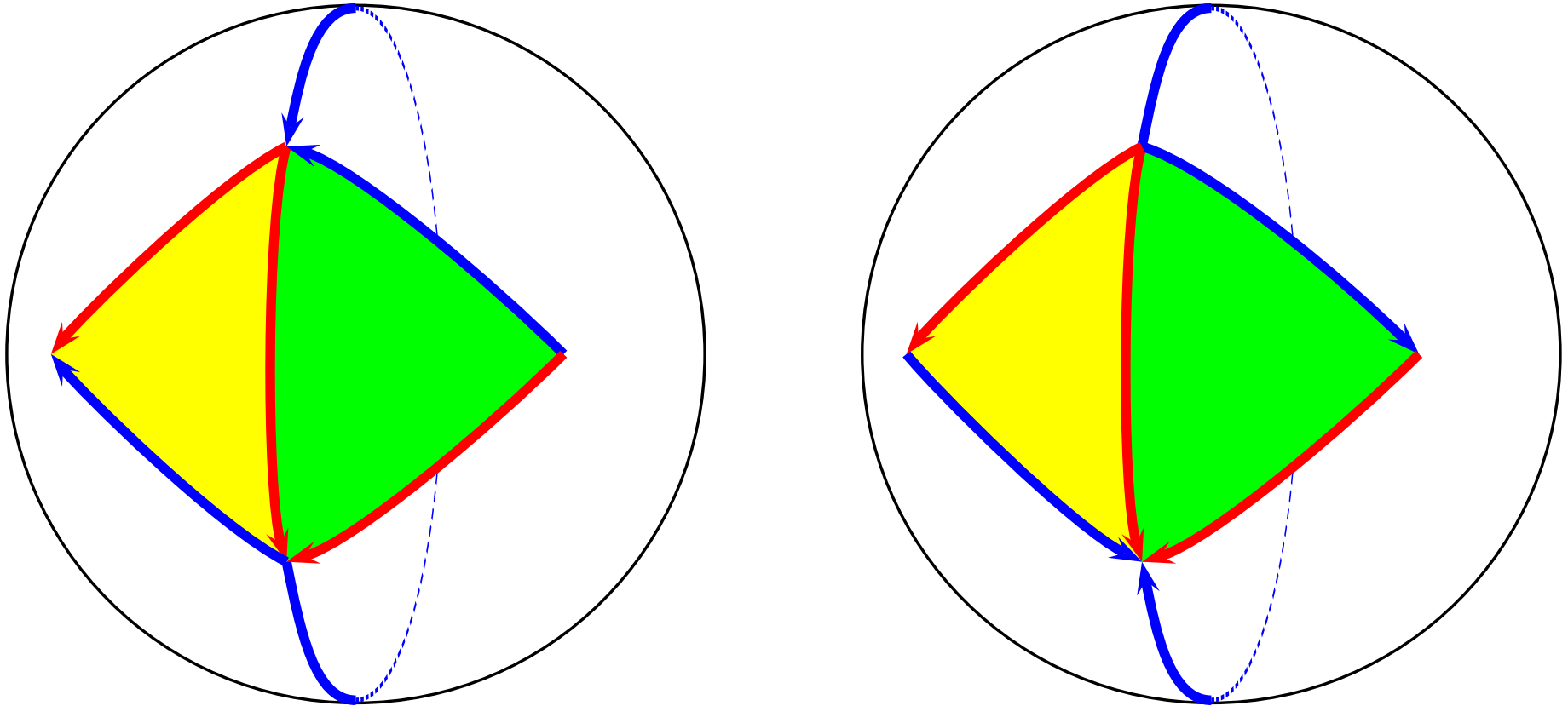


# Construction of the Spine $B$ :

## Trefoil Knot Case



have a decomposition into ideal cells.

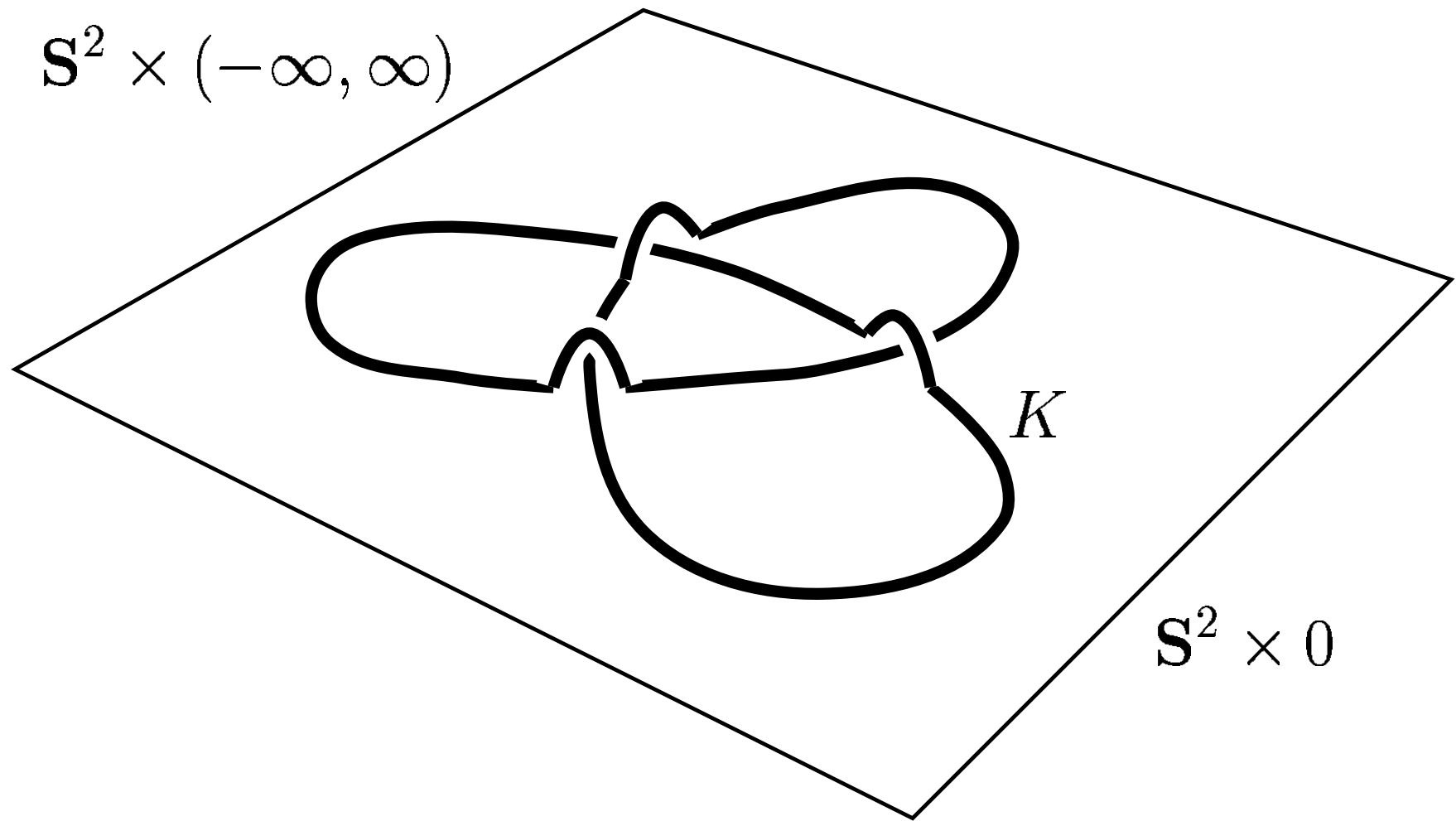


can similarly consider the dual spine.

## Construction of the Spine $B$ : General Case

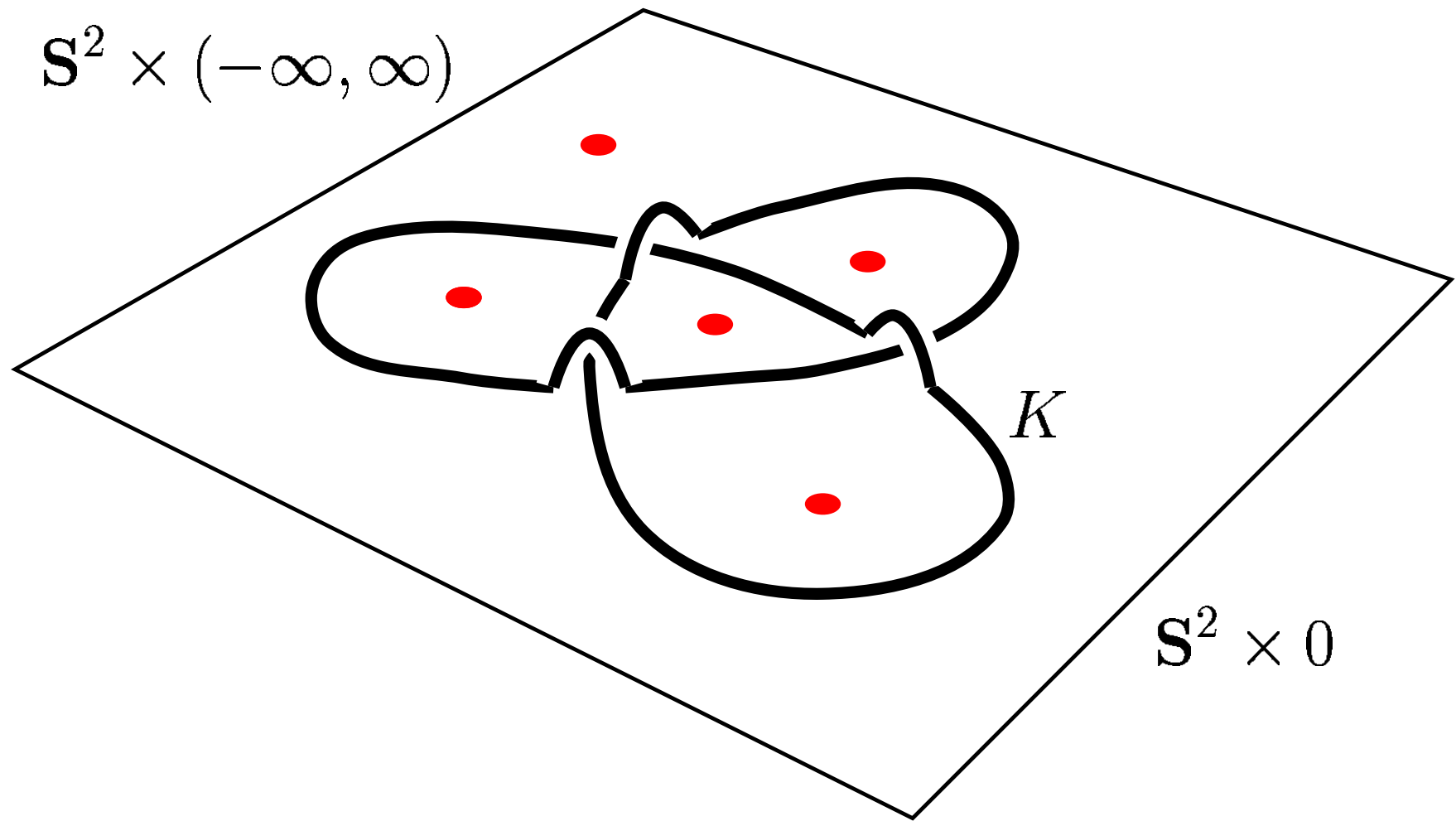
We use a simplified but weaker method of D. Thurston to construct a decomposition of the knot complement, and use its dual spine as  $B$ .

Identify  $S^3$  with  $S^2 \times (-\infty, \infty) \cup \{\pm\infty\}$ ,  
and consider a knot projection to  $S^2 \times 0$ ,  
with  $n$  crossings.

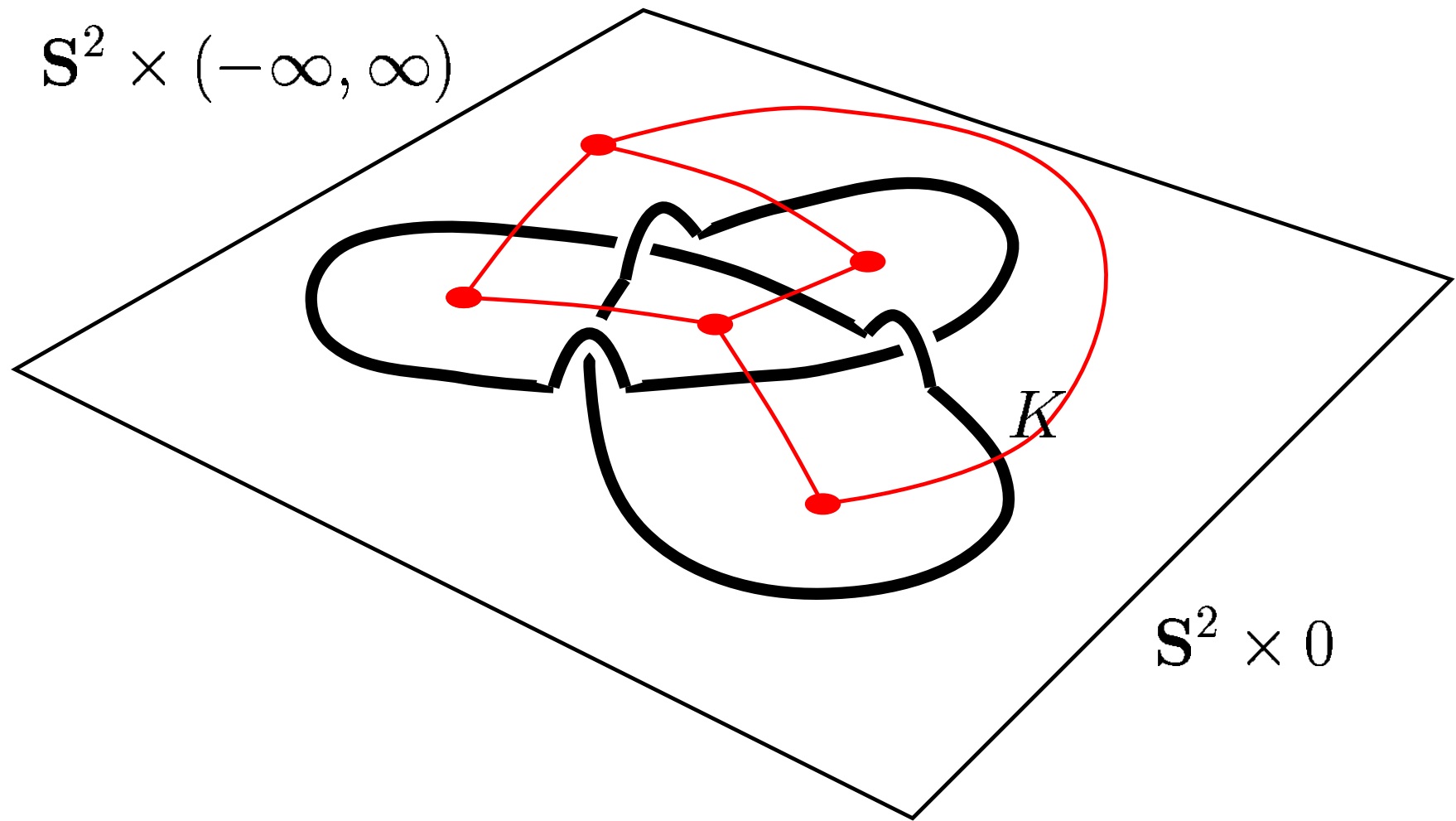


This divides  $\mathcal{S}^2 \times 0$  into several regions.

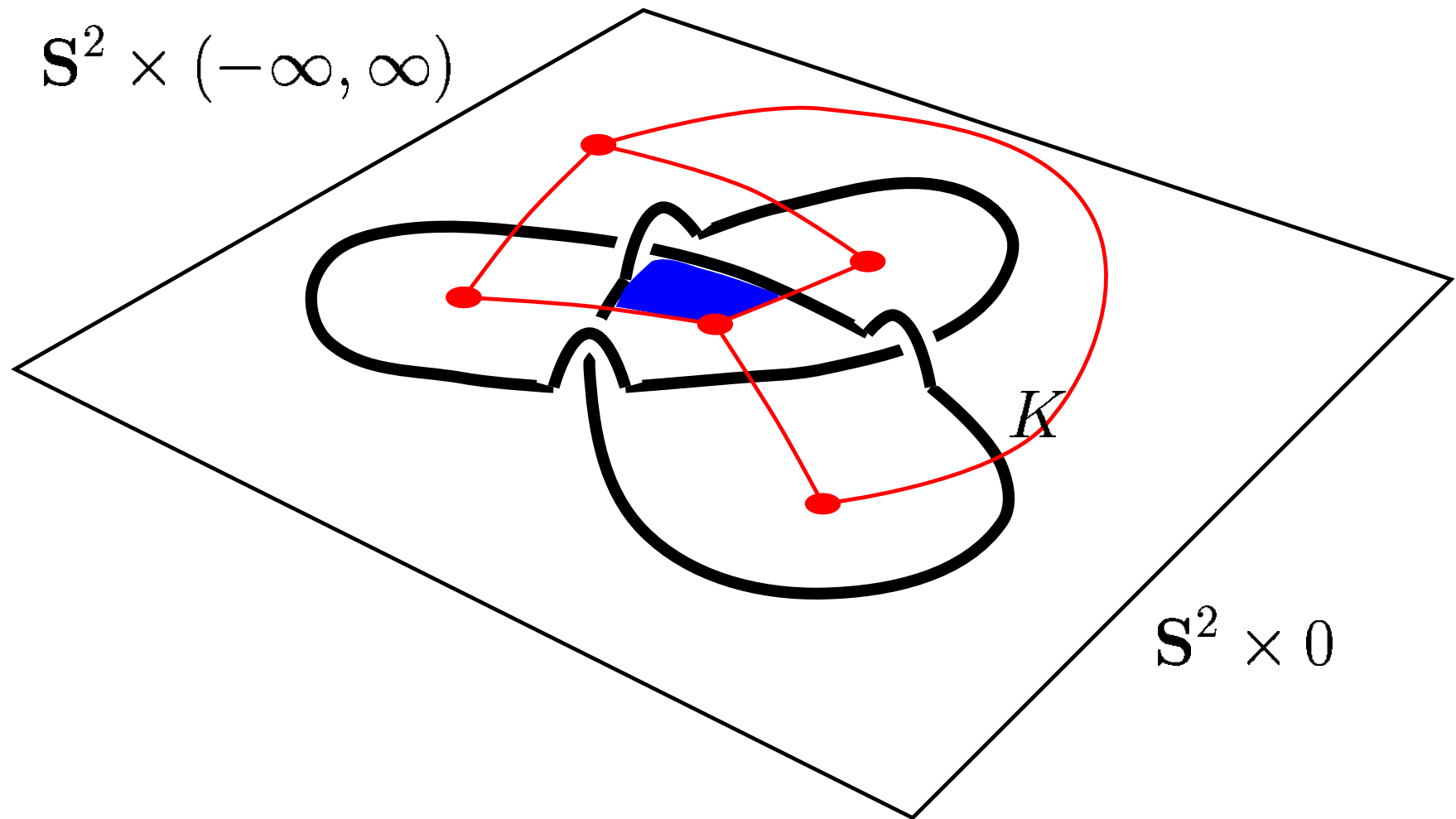




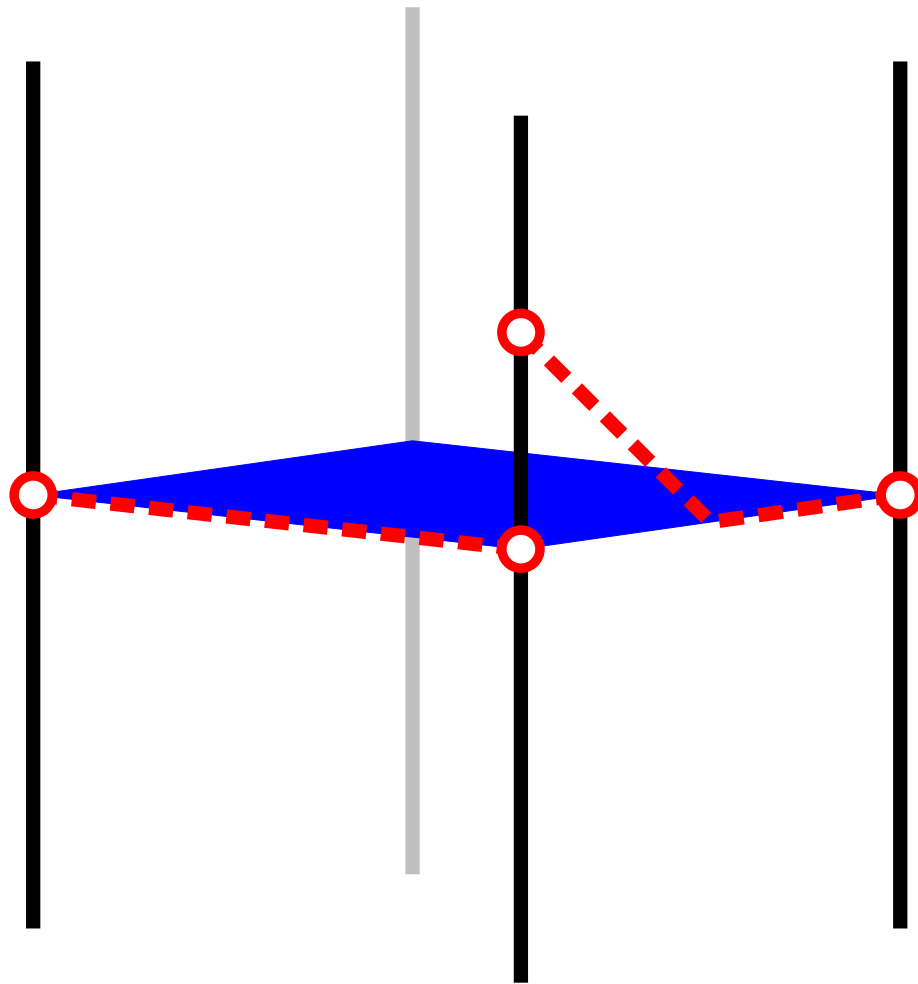
Pick a point from each region.



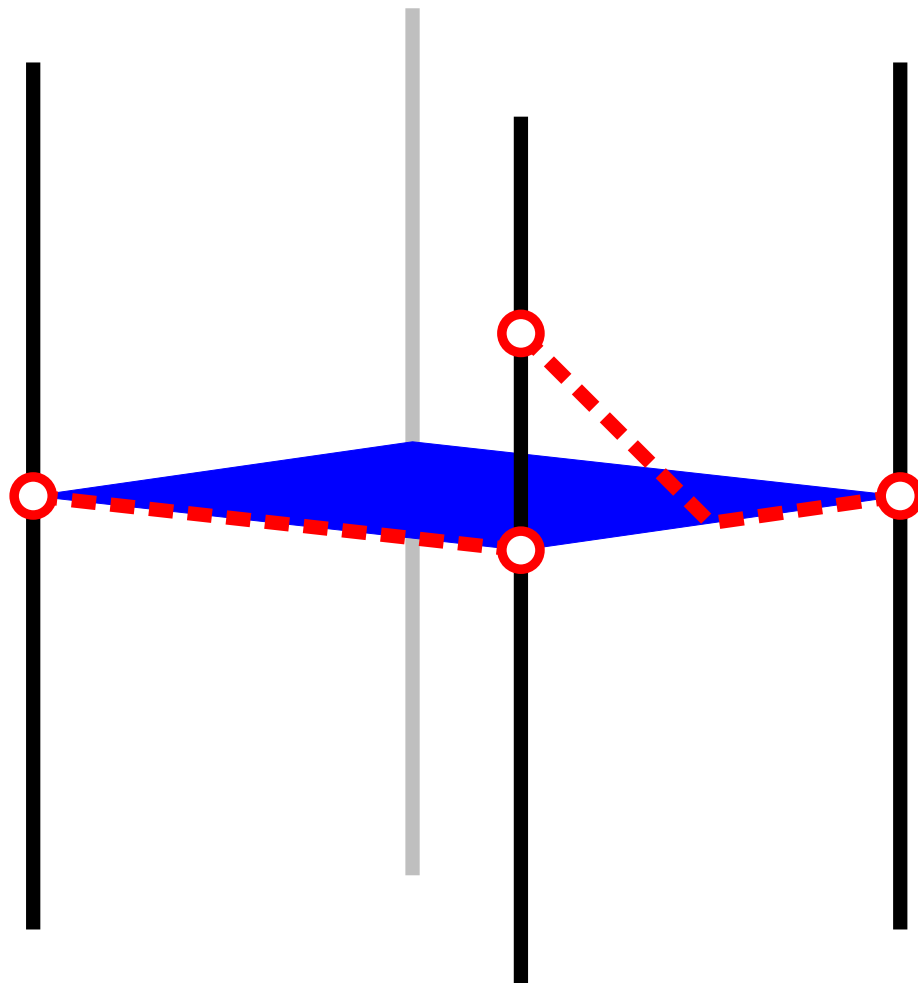
Connect the points as indicated above.



$S^2 \times 0$  decomposes into  $4n$ -many quadrangles  $R_i$ .

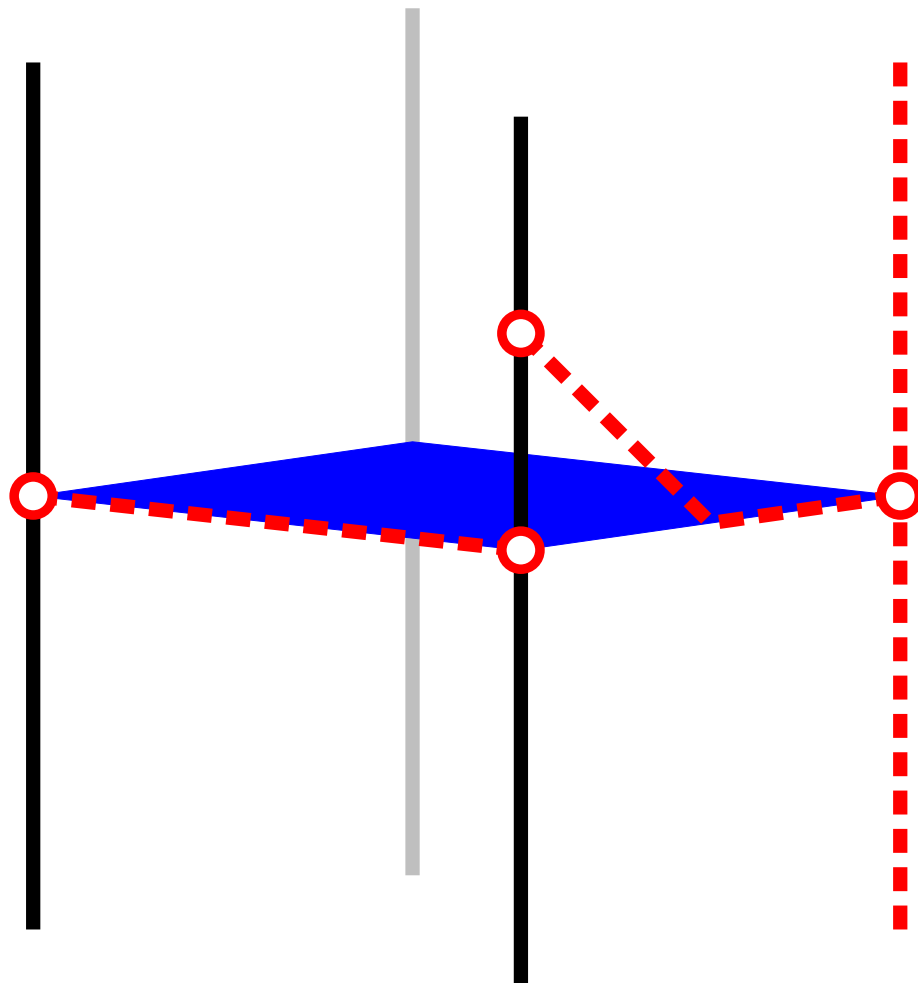


Roughly speaking  $R_i \times (-\infty, \infty) - K$  are  
the desired cells.

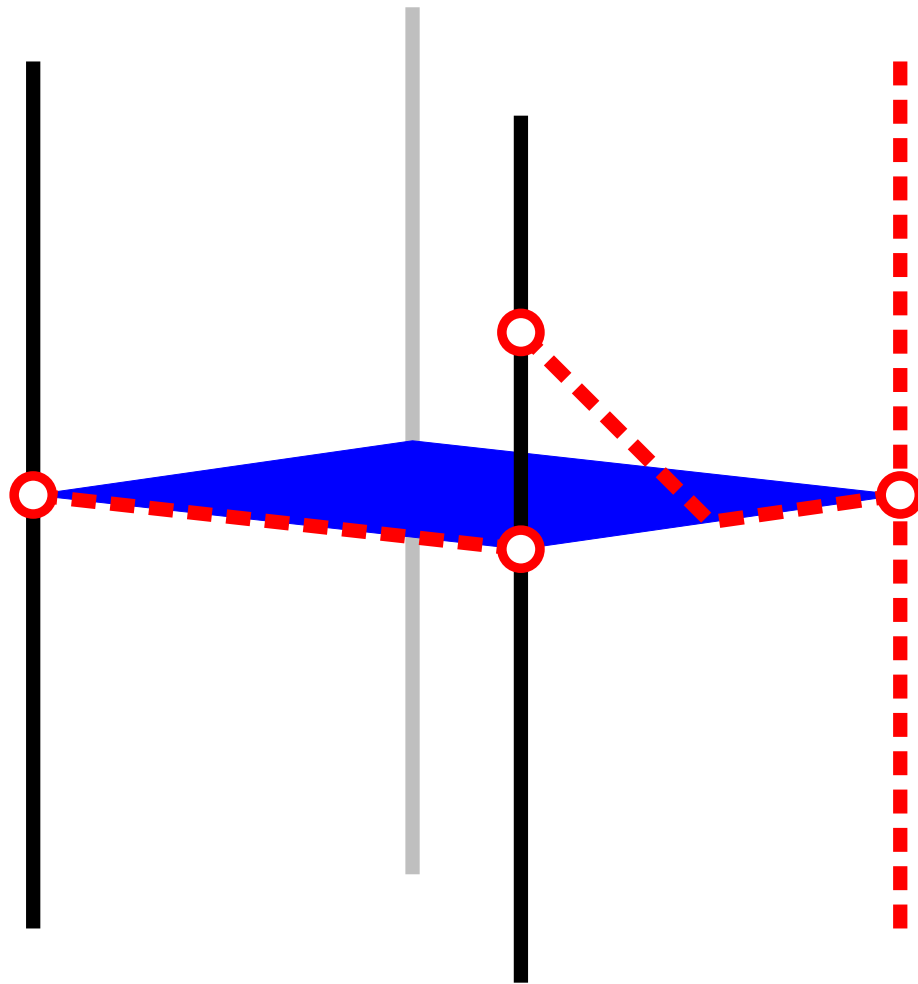


Unfortunately their union is not  $S^3 - K$ , but

$$S^3 - \{\pm\infty\} - K.$$



So pick a point on  $K$  and dig tunnels to  $\pm\infty$ . This affects four cells.



This gives a decomposition into ideal cells.

Now use the dual spine.

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[Pedersen-Quinn-Ranicki] Controlled surgery with trivial local fundamental groups, High dimensional manifold topology, Proceedings of the conference, ICTP, Trieste Italy, World Scientific (2003) 421 – 426